



PATENT

#3

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Jeffrey C. Hawkins, William B. Rees, Debbie O. Chyi and Robert Y. Haitani

APPLICATION NO.: 09/977,870

FILING DATE: October 14, 2001

TITLE: Interface For Processing Of An Alternate Symbol In A Computer Device

EXAMINER: Not yet known

GROUP ART UNIT: 2836

ATTY. DKT. NO.: 21495-05943

COPY OF PAPERS
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: 01/15/02

By: Eileen A. Lehmann
Eileen A. Lehmann, Reg. No.: 39,272

BOX MISSING PARTS
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WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated January 16, 2001 received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Combined Declaration and Power of Attorney;
- ☐ an Application Data Sheet;
- ☒ payment in the amount of \$1,332.00 for the



PATENT

- ☒ application filing fee;
- ☒ fee for additional claims; and
- ☒ missing parts surcharge.

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☒ Other: Letter to the Chief Draftsperson and 8 substitute drawings in compliance with 37 CFR 1.84

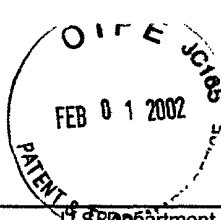
☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
Jeffrey C. Hawkins, William B. Rees, Debbie O.
Chyi and Robert Y. Haitani

Dated: 01/15/02

By: Eileen A. Lehmann
Eileen A. Lehmann, Reg. No.: 39,272
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7246
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21495/05943/DOCS/1236084.1



PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95 TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/977,870	
		Filing Date	October 14, 2001	
		First Named Inventor	Jeffrey C. Hawkins	
		Group Art Unit Number	2836	
		Examiner Name	Not yet known	
Total Number of Pages in This Submission		19	Attorney Docket Number	21495-05943

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ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input checked="" type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts	<input checked="" type="checkbox"/> Formal Drawing(s): [8] Sheet(s) of Figure(s) [1A-6D]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Combined Declaration and Power of Attorney	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Notice To File Missing Parts Of Nonprovisional Application
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> _____
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/> _____
<input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/> _____
<input type="checkbox"/> Status Request	<input type="checkbox"/> _____
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> _____

REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

Signature:	<i>Eileen A. Lehmann</i>	
Attorney/Reg. No.:	Eileen A. Lehmann, Reg. No. 39,272	Dated: 01/15/02

CERTIFICATE OF MAILING

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Signature:	<i>Eileen A. Lehmann</i>	
Typed or Printed Name:	Eileen A. Lehmann	Dated: 01/15/02
Express Mail Mailing Number (optional):		

21495/05943/DOCS/1236087.1

FEB 01 2002
PATENT & TRADEMARK OFFICE

PTO/SB/17 (10-01)(modified)
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/2001	U.S. Department of Commerce Patent and Trademark Office	Complete if Known Application Number: 09/977,870 Filing Date: October 14, 2001 First Named Inventor: Jeffrey C. Hawkins Group Art Unit: 2836 Examiner Name: Not yet known Attorney Docket Number: 21495-05943
FEE TRANSMITTAL		COPY OF PAPERS ORIGINALLY FILED
TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1,332.00)		

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																								
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account * <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP A Duplicate Copy of this authorization is attached 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other	3. ADDITIONAL FEES <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Due</th> </tr> </thead> <tbody> <tr> <td>105/\$130</td> <td>205/\$65</td> <td>Surcharge - late filing fee or oath</td> <td style="text-align: right;">130</td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td style="text-align: right;"></td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td>For filing a request for reexamination</td> <td style="text-align: right;"></td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td>Extension for response within first month†</td> <td style="text-align: right;"></td> </tr> <tr> <td>116/\$400</td> <td>216/\$200</td> <td>Extension for response within second month†</td> <td style="text-align: right;"></td> </tr> <tr> <td>117/\$920</td> <td>217/\$460</td> <td>Extension for response within third month†</td> <td style="text-align: right;"></td> </tr> <tr> <td>118/\$1,440</td> <td>218/\$720</td> <td>Extension for response within fourth month†</td> <td style="text-align: right;"></td> </tr> <tr> <td>128/\$1,960</td> <td>228/\$980</td> <td>Extension for response within fifth month†</td> <td style="text-align: right;"></td> </tr> <tr> <td>119/\$320</td> <td>219/\$160</td> <td>Notice of Appeal</td> <td style="text-align: right;"></td> </tr> <tr> <td>141/\$1,280</td> <td>241/\$640</td> <td>Petition to revive unintentionally abandoned application</td> <td style="text-align: right;"></td> </tr> <tr> <td>142/\$1,280</td> <td>242/\$640</td> <td>Utility Issue Fee (Or Reissue)</td> <td style="text-align: right;"></td> </tr> <tr> <td>143/\$460</td> <td>243/\$230</td> <td>Design Issue Fee</td> <td style="text-align: right;"></td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td>Petitions to the Commissioner</td> <td style="text-align: right;"></td> </tr> <tr> <td>126/\$180</td> <td>126/\$180</td> <td>Submission of Information Disclosure Statement</td> <td style="text-align: right;"></td> </tr> <tr> <td>179/\$740</td> <td>279/\$370</td> <td>Request for Continued Examination (RCE)</td> <td style="text-align: right;"></td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td style="text-align: right;"></td> </tr> <tr> <td>146/\$740</td> <td>246/\$370</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td style="text-align: right;"></td> </tr> <tr> <td>149/\$740</td> <td>249/\$370</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td style="text-align: right;"></td> </tr> <tr> <td colspan="2"></td> <td>Other fee (specify):</td> <td style="text-align: right;"></td> </tr> <tr> <td colspan="2"></td> <td>Other fee (specify):</td> <td style="text-align: right;"></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td style="text-align: right;">(\$ 130)</td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	130	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet		147/\$2,520	147/\$2,520	For filing a request for reexamination		115/\$110	215/\$55	Extension for response within first month†		116/\$400	216/\$200	Extension for response within second month†		117/\$920	217/\$460	Extension for response within third month†		118/\$1,440	218/\$720	Extension for response within fourth month†		128/\$1,960	228/\$980	Extension for response within fifth month†		119/\$320	219/\$160	Notice of Appeal		141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application		142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)		143/\$460	243/\$230	Design Issue Fee		122/\$130	122/\$130	Petitions to the Commissioner		126/\$180	126/\$180	Submission of Information Disclosure Statement		179/\$740	279/\$370	Request for Continued Examination (RCE)		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)		146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))		149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))				Other fee (specify):				Other fee (specify):		SUBTOTAL (3)			(\$ 130)
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* Subtract the greater number of Col. 2 ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3		SUBTOTAL (2) (\$ 462)																																																																																							

SUBMITTED BY Typed or Printed Name: Eileen A. Lehmann Signature: <i>Eileen A. Lehmann</i>		Complete (if applicable) Reg Number: 39,272 Date: 01/15/02
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21495/05943/DOCS/1236076.1

* Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

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PTO/SB/17 (10-01)(modified)
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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0002/PTO(modified)
Rev. 10/2001U.S. Department of Commerce
Patent and Trademark Office**FEE TRANSMITTAL****TOTAL AMOUNT OF PAYMENT**Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 1,332.00)****Complete if Known**

Application Number	09/977,870
Filing Date	October 14, 2001
First Named Inventor	Jeffrey C. Hawkins
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- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.[†]
- ☐ Applicant claims small entity status
See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed;☒ Check ☐ Credit Card ☐ Other**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
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Other fee (specify):

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SUBTOTAL (3) (\$ 130)**FEE CALCULATION (fees effective 10/01/2001)****1. FILING FEE**

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	740
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	

SUBTOTAL (1) (\$ 740)

2. CLAIMS

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* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 462)**SUBMITTED BY**Typed or Printed Name **Eileen A. Lehmann**

Signature

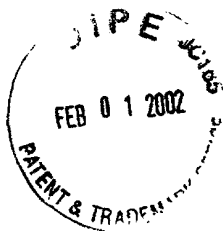
*Eileen A. Lehmann***Complete (if applicable)**Reg. Number **39,272**

Date

01/15/02

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[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



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PATENT AND TRADEMARK OFFICE

APPLICANTS: Jeffrey C. Hawkins, William B. Rees, Debbie O. Chyi and Robert Y. Haitani

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By: Eileen A. Lehmann
Eileen A. Lehmann, Reg. No.: 39,272

COMMISSIONER FOR PATENTS
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LETTER TO THE CHIEF DRAFTSPERSON

SIR:

In response to the drawing informalities indicated on the Notice To File Missing Parts Of Nonprovisional Application for the above-entitled patent application, please substitute the enclosed 8 sheets of formal drawings containing Figures 1A-6D for the 8 sheets of drawings containing Figures 1A-6D previously submitted.

Respectfully submitted,
Jeffrey C. Hawkins, William B. Rees, Debbie O. Chyi
and Robert Y. Haitani

Dated: 01/15/02

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